AGILENT TECHNOLOGIES, INC. Legal Department, DL429 Intellectual Property Administration P. O. Box 7599

Loveland, Colorade 80537-0599

PATENT APPLICATION

ATTORNEY DOCKET NO. 10004331-1

Dzung D. Tran

2638

## UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kenneth A. Nishimura & Jonathan Simon

Serial No.:

Filing Date:

Title:

10/087,386

3/1/2002

**Group Art Unit:** 

An Optical Signal Multiplexer/Demultiplexer Employing Pseudorandom Mode Modulation

10/06/2005 AKELECH1 00000019 501078 10087386 180.00 DA

Examiner:

**COMMISSIONER FOR PATENTS** PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

01 FC:1806

Sir:

This Information Disclosure Statement is submitted:

( ) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

( ) under 37 CFR 1.97(c) together with either a:

( ) Statement under 37 CFR 1.97(e), or

( ) a \$180.00 Processing fee under 37 CFR 1.17(p), or (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

(x) under 37 CFR 1.97 (d) together with a:

( ) Statement under 37 CFR 1.97(e), and

(X) a \$180.00 processing fee under 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$180.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

(X)	Applic	ant(s)	submit	her	rewith	Form P1	0 1	449.	Referen	ces identi	fied	wit	h an	aster	isk (	(*) v	were
disclose	ed in F	atent	Applic	atio	n No				filed			_, n	ow l	J. S.	Pat	tent	No.
		, and	, as su	ıch,	copies	thereof	are	not	included	pursuant	to	the	provi	sions	of	37	CFR
1.98(d).		_					•										

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box Alexandria, VA 22313-1450.

Date of Deposit: 10/3/2005

Typed Name: Sherre Anne Treat

Signature:

Respectfully submitted,

Kenneth A. Nishimura & Jonathan

Michael H. Jester

Attorney/Agent for Applicant(s)

Reg. No. 28,022

Date: 10/3/2005

Telephone No.: (619) 628-4598

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Appli	cation of:	)	
Ken A. Nishimur	a et al.	) Confirmation No.:	6282
Patent Appl. No.:	10/087,386	) Examiner:	Tran, Dzung D
Filed on:	Mar 01, 2002	) Group Art Unit:	2633
Demultip	ll Signal Multiplexer/ lexer Employing ndom Mode Modulation	) ) )	

## STATEMENT UNDER 37 CFR §1.97(e)

MS - AF Commissioner for Patents PO Box 1450 Alexandria, VA 22313-4150

Dear Sir:

U.S. Patent No. 3,956,626, as referenced on sheet 6 of 6 of Form PTO-1449 transmitted herewith, was cited in the European Patent Office's Search Report during prosecution of EPO Application No. EP02 02 3243, which is a counterpart to the subject U.S. patent application. A copy of said Report, bearing the August 17, 2005 date stamp of Applicant's foreign patent agents (Forrester & Hoemert), and including the referenced U.S. patent, is transmitted herewith.

Respectfully submitted,

Michael H. Jester Reg. No. 28,022

**Attorney for Applicants** 

OCT 0 5 2005

SHEET 6 OF 6

Group Art Unit: 2638

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use Several Sheets If Necessary)

Docket No.: 2780-11	Application No.: 10/087,386
Applicant: Kannath & Michimura	

Filing Date: March 1, 2002

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	٨	IAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	3,956,626	5/11/1976	Ros	ss et al.	HO4B10	135				
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		F	OREIGN PATEN	T DOCUMENTS			т			
1	DOCUMENT NUMBER	DATE		COUNTRY	CLA.SS	SUBCLASS	TRANSLATION			
							YES	NO		
			1							
		OTHER DOC	UMENTS (Includ	ing Author, Title, Dat	te, Pertinent Pag	es, Etc.)				
	European Search R	eport for EPO App	lication No. 02023	243.5 - 2415, which is	the EPO counte	rpart to the subject pat	ent applicat	ion.		
				<del></del>						
EXAMINER DATE CONSIDERED										

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

(2/92 PTO)